

## **LIST OF INSIDER INFORMATION** **OOO HSBC Bank (RR)**

This list of insider information (the **List**) is an exhaustive list of information of OOO HSBC Bank (RR) (the **Bank**) that constitutes insider information, drawn in accordance with Part 1 Article 3 of Federal Law 224-FZ of 27.07.2010 “On counteraction against unlawful use of insider information and market manipulation, and on amendment of certain legislative acts of the Russian Federation” (**Federal Law 224-FZ**), Bank of Russia Order 3379-U of 11.09.2014 “On the list of insider information of entities named in items 1-4, 1 and 12 Article 4 Federal Law “On counteraction against unlawful use of insider information and market manipulation, and on amendment of certain legislative acts of the Russian Federation”, and other regulatory documents of the Russian Federation.

This List must be disclosed on the official Internet site of the Bank at <http://www.hsbc.ru/>

1. **Insider information** of the Bank as a professional participant of securities market that executes transactions with financial instruments, foreign currencies, derivative contracts, in the interests of its clients, includes the following information received from clients:

- information in outstanding client orders for transactions with securities, if execution of such orders can materially influence the price of such securities and such securities meet the criteria named in item 5 of this List;
- information in outstanding client orders for entry into derivative contracts, if execution of such orders can materially influence the price of such securities and such securities meet the criteria named in item 5 of this List.

2. **Insider information** of the Bank as a professional participant of securities market that executes depository transactions in the interests of its clients includes received information about transactions on clients’ securities accounts, if such information can materially influence the price of such securities and such securities meet the criteria named in item 5 of this List.

3. **Insider information** of the Bank as a credit organisation that executes transactions with foreign currencies in the interests of its clients, if a certain foreign currency meets the criteria named in item 6 of this List, includes the following information received from clients:

- information contained in outstanding client orders for acquisition / purchase or sale of foreign currency through organised exchanges, if execution of such orders can materially influence the price of such foreign currency;
- information contained in outstanding client orders for entry into derivative contracts where the underlying asset is a foreign currency, if execution of such orders can materially influence the price of such foreign currency.

Insider information of the credit organisation (if certain foreign currency meets the criteria named in item 6 of this List) includes information about transactions of the credit organisation with foreign currency that involve execution of banking transactions in the interests of its clients, if in execution of such transactions the credit organisation must execute relevant transactions on an organised exchange, and execution of such transactions can materially influence the price of such foreign currency.

4. Insider information contained in outstanding client orders referred to in items 1 and 3 of this List includes information about the price and amount / quantity of securities, foreign currency, derivative contracts, action that must be taken to execute such orders (acquisition / purchase or disposal / sale, entry into a derivative contract).

5. Information concerning certain securities, referred to in items 1 and 2 of this List, is included in insider information of professional participant of securities market that executes transactions with financial instruments in in the interests of its clients if such securities are listed for trading on an organised exchange, or a request has been submitted for listing of such securities on an organised exchange.

6. Information concerning a certain foreign currency, referred to in item 3 of this List, is included in insider information of the Bank if such foreign currency may be traded on an organised exchange, or a request has been submitted for trading such foreign currency on an organised exchange.